

**LINCOLNSHIRE POLICE AUTHORITY
NON-CRITICAL RISK REGISTER**

(current overall score of 4 and below)

SPONSOR: CHAIRMAN OF AUDIT, RISK AND GOVERNANCE
CO-ORDINATOR: RESEARCH & PERFORMANCE OFFICER



VISION *working with communities and partners to secure the best policing for all the people of Lincolnshire'*
KEY • Secure the maintenance of an effective and efficient police force
STATUTORY DUTIES • achieve continuous improvements in policing performance
 • obtain the views of local people on policing matters
 • agree the police budget and set the precept

OBJECTIVES
 1. Trust and Confidence (needs of people at centre of all that we do)
 2. Community and Partnership Engagement
 3. Resource Management
 4. Governance

ID	Linked to Objective (O) or Aim (A)	Description of Risk			Inherent Risk Score		Overall Inherent Risk Score	Existing Controls	Owner (the do-er)	Current Risk Score			Direction of travel	New/Developing Controls	Owner (responsible for new and developing controls)	Start Date	End date	Target Risk Score			Review Date
		Source (Lack of....Failure to)	Consequences (Results inLeads to)	Risk Sponsor	Likelihood	Impact				Likelihood	Impact	Overall Current Risk Score						Likelihood	Impact	Overall Target Risk Score	
E	Obj 3 A3.2 linkage between performance outcomes and financial allocations	Performance and resources are not considered together	leading to uninformed and ineffective decision making and strategic planning	Chairman F&P Chairman AR&G	2	3	6	<ul style="list-style-type: none"> Performance Management Sub Group set up in interim period between Finance and Strategy Committee/Scrutiny and Audit Committee and Finance and Performance Committee RPO attends the Force Performance Managers Group, keeping up to date on developments regarding the 'Dashboard' (move to a 'Balanced Scorecard' approach) FPA received presentation on Force 'Dashboard' on 29 Nov 09. Performance Task and Finish Group meeting 7 Dec 09 'Finance and performance linkages' mtg on 4/11/09 (T, RPO, Strategic Development, Finance and Performance departments represented plus the Use of Resources Accountant). Aim to link performance outcomes and financial allocations to aid decision making. Action plan (research, score card mock up, benefits realisation, objective analysis) in place to move forward. 	DCE/T/RPO RPO DCE T/RPO T/RPO	2	2	4	→	<ul style="list-style-type: none"> Process monitored and reviewed 'Linking Finance and Performance' work continues (next meeting date: 7 Jan 2010) 	T/RPO T/RPO	30/09/2009 Nov 09	Feb 2010 Jan 2010	1	2	2	12 Feb 2010 12 Feb 2010
F	Obj 4/A4.1 Set rigorous challenges for force performance	Inability to set or articulate meaningful rigorous challenges around Force performance	leading to Authority inability to add value to the process and the loss of respect and co-operation of the Force.	Chairman F&P	2	3	6	<ul style="list-style-type: none"> Business Project - Strategic Planning workstream in place addressing some issues Strategic Planning training carried out Performance Management Sub Group reviewing processes Authority engagement via Force 'Forum' Advanced 'Can you manage it' training delivered (Members/Officers) Nov 09 Performance Task and Finish Group met (9/12/09) to conduct 6 month performance review and to develop the Authority's approach to performance monitoring. Additional F&P Committee meetings scheduled to enable agendas to be prioritised according to performance matters. Performance Task and Finish Group members informal self assessment and review (Dec 09/Jan 10) 	CE/T/RPO T PMSG CE/T/RPO Chair RPO RPO T/RPO/SSO T/DCE/RPO	2	2	4	→	<ul style="list-style-type: none"> F&P better prepared and briefed to carry out performance management Continue to review effectiveness of Authority monitoring of Force performance F&P Training needs assessment 	Chairman F&P Chairman F&P tbc	June 2009 9/12/09 tbc	March 2010 28/9/10 tbc	1	2	2	9/2/10 28/9/10

Risk scoring

DEFINITION OF IMPACT		DEFINITION OF LIKELIHOOD	
Impact	Score	Likelihood	Score
Extreme	4	Almost certain	4
<ul style="list-style-type: none"> Financial impact greater than £½ million National media attention Government/Home Office intervention Total service disruption Exceptional and long term damage to the reputation of the Authority 		<ul style="list-style-type: none"> Frequent occurrence More than 90% chance This will occur in most circumstances 	
High	3	Likely	3
<ul style="list-style-type: none"> Financial impact greater than £¼ million Regional media attention Adverse comment by Minister/external auditor Significant service disruption Significant reputational challenge or damage 		<ul style="list-style-type: none"> Regular occurrence More than 50% chance Expected to happen 	
Medium	2	Possible	2
<ul style="list-style-type: none"> Financial impact greater than £100,000 Local media attention Some service disruption Some negative consequences relating to reputation 		<ul style="list-style-type: none"> Occasional occurrence More than 10% chance 	
Low	1	Unlikely	1
<ul style="list-style-type: none"> Low level financial loss Isolated complaints Minor service disruption 		<ul style="list-style-type: none"> Has never occurred Less than 10% chance An event that is not expected to happen 	

Risk Matrix

Likelihood	Score	Impact			
		Low 1	Medium 2	High 3	Extreme 4
Almost certain	4	AMBER 4	RED 8	RED 12	RED 16
Likely	3	GREEN 3	AMBER 6	RED 9	RED 12
Possible	2	GREEN 2	AMBER 4	AMBER 6	RED 8
Unlikely	1	GREEN 1	GREEN 2	GREEN 3	AMBER 4

Key to Abbreviations

Committees	Staff
ARG Audit, Risk & Governance Committee	CE Chief Executive
C&P Community & Partnership Committee	CAO Committees & Admin Officer
ES Ethical Standards Committee	DCE Deputy Chief Executive
FPA Full Police Authority	ECO Engagement & Communications Officer
F&P Finance and Performance Committee	RPO Research & Performance Officer
HR Human Resources Committee	SSO Support Services Officer
PS Professional Standards Committee	T Treasurer
SP Strategic Planning Committee	

Direction of Travel
 ↑ Improving
 ↓ Deteriorating
 → No change

		<ul style="list-style-type: none">• Minor service disruption• Minor/contained negative consequences relating to reputation			<ul style="list-style-type: none">• An event that is not expected to happen
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